

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: Not Yet Known  
Applicant: Nicholas C. Parson et al.  
Filed: concurrently herewith  
Title: Aluminum Alloy Tube and Fin Assembly for Heat Exchangers Having  
Improved Corrosion Resistance After Brazing  
TC/A.U.:  
Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (RP) submitted herewith. A copy of the references listed on the 1449 form, other than U.S. patents, is enclosed.

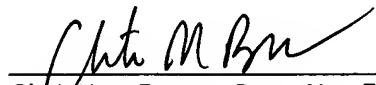
Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

These references were cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609A(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

  
\_\_\_\_\_  
Christian Bauer, Reg. No. 51,443  
Attorney for Applicants

CMB/dhm

Enclosures: PTO Form 1449  
(3) References  
International Search Report

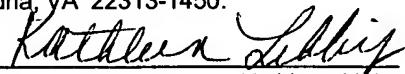
Dated: June 20, 2005

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Kathleen Libby

JC17 Rec'd PCT/PTO 20 JUN 2005

PTO/SB/08a (08-03) (AW 10/2003)

Approved for use through 7/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<p>Substitute for Form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>	<p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">Not Yet Known</td> <td style="width: 50%; text-align: right;">10/539722</td> </tr> <tr> <td>Filing Date</td> <td colspan="2">concurrently herewith</td> </tr> <tr> <td>First Named Inventor</td> <td colspan="2">Nicholas C. Parson</td> </tr> <tr> <td>Art Unit</td> <td colspan="2"></td> </tr> <tr> <td>Examiner Name</td> <td colspan="2"></td> </tr> </table>		Application Number	Not Yet Known	10/539722	Filing Date	concurrently herewith		First Named Inventor	Nicholas C. Parson		Art Unit			Examiner Name		
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Examiner Name																	
<p><b>SHEET 1 of 1</b></p>	<p>Attorney Docket No.</p>	<p>ALCN-122US</p>															

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		EP 1 158 063 A1	11/28/2001	Norsk Hydro A/S		<input type="checkbox"/>
		GB 2 321 255 A	05/29/1997	Alcan International Limited		<input type="checkbox"/>
		EP 1 254 965 A1	11/06/2002	Sapa Heat Transfer AB		<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
						<input type="checkbox"/>
Examiner Signature				Date Considered		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

**'Applicant's unique citation designation number (optional).**

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

**\*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.**

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

**\*Applicant is to place a check mark here if English language translation is attached**

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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